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Sheet _ of 2

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	ATTY. DOCKET NO. 36287-04404	SERIAL NO. 10/723,264
	APPLICANT Gray et al.	
	FILING DATE November 26, 2003	GROUP ART UNIT 3694

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,269,346	7-2001	Cristofich et al			
	6,567,790	5/20/03	Slane			
	7,212,993	5-2007	Bodurtha et al.			
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, Etc.)

	Applications of random walkers to Stock Pricing, www.math.mit.edu/stocker/18354.d/financial.pdf ; Spring 2004
	Transferable Stock Options: Compensation for the 21st Century, David A. Seaman, Workspan, May 2004, 47(5) pages 64-71
	Transferable Stock Options: Microsoft's Program, Frederic W. Cook & Co., Inc. Oct. 31, 2003
	Transferable Stock Options (TSOs) and the Coming Revolution in Equity-Based Pay, Brian J. Hall, Journal of Applied Corporate Finance, Winter 2004 16(1)

EXAMINER /Scott Trotter/

DATE CONSIDERED 01/21/2009

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /ST/
LA1:#6388444